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Quantitative aspects of linear and affine closed lambda terms

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Abstract

Affine λ -terms are λ -terms in which each bound variable occurs at most once and linear λ -terms are λ -terms in which each bound variable occurs once. and only once. In this paper we count the number of closed affine λ -terms of size n, closed linear λ -terms of size n, affine β -normal forms of size n and linear β -normal forms of size n, for different ways of measuring the size of λ -terms. From these formulas, we show how we can derive programs for generating all the terms of size n for each class. The foundation of all of this is specific data structures, which are contexts in which one counts all the holes at each level of abstractions by λ 's.

Keywords: Lambda calculus, combinatorics, functional programming

1 Introduction

The λ -calculus [1] is a well known formal system designed by Alonzo Church [8] for studying the concept of function. It has three kinds of basic operations: variables, application and abstraction (with an operator λ which is a binder of variables). We assume the reader familiar with the λ -calculus and with de Bruijn indices.¹

In this paper we are interested in terms in which bound variables occur once. A closed λ -term is a λ -term in which there is no free variable, i.e., only free variables. An affine λ -term (or BCK term) is a λ -term in which bound variables occur at most once. A linear λ -term (or BCI term) is a λ -term in which bound variables occur once and only once.

In this paper we propose a method for counting and generating (including random generation) linear and affine closed λ -terms based on a data structure which we call SwissCheese because of its holes. Actually we count those λ -terms up-to α -conversion. Therefore it is adequate to use de Bruijn indices [11], because a term with de Bruijn indices represents an α -equivalence class. An interesting aspect of these terms is the fact that they are simply typed [17, 16]. For instance, generated by the program of Section 5 6, there are 16 linear terms of natural size 8:

 $\lambda x.x \ (\lambda x.x \ \lambda x.x) \quad \lambda x.x \ \lambda y.(\lambda x.x \ y) \quad \lambda x.x \ \lambda y.(y \ \lambda x.x) \quad (\lambda x.x \ \lambda x.x) \ \lambda x.x$

¹If the reader is not familiar with the λ -calculus, we advise him to read the introduction of [15], for instance.

```
\lambda y.(\lambda x.x\ y)\ \lambda x.x \quad \lambda y.(y\ \lambda x.x)\ \lambda x.x \quad \lambda y.(\lambda x.x\ (\lambda x.x\ y)) \quad \lambda y.(\lambda x.x\ (y\ \lambda x.x)) \lambda y.((\lambda x.x\ \lambda x.x)\ y) \quad \lambda y.(\lambda z.(\lambda x.x\ z)\ y) \quad \lambda y.(\lambda z.(z\ \lambda x.x)\ y) \quad \lambda y.(y\ (\lambda x.x\ \lambda x.x)) \lambda y.(y\ \lambda z.(\lambda x.x\ z)) \quad \lambda y.(y\ \lambda z.(z\ \lambda x.x)) \quad \lambda y.((\lambda x.x\ y)\ \lambda x.x) \quad \lambda y.((y\ \lambda x.x)\ \lambda x.x) and there are 25 affine terms of natural size 7: (\lambda 0\ \lambda \lambda 1) \quad (\lambda 0\ \lambda \lambda \lambda 0) \quad (\lambda \lambda 0\ \lambda \lambda 0) \quad (\lambda \lambda 1\ \lambda 0) \quad (\lambda \lambda \lambda 0\ \lambda 0) \quad \lambda (\lambda \lambda 1\ 0) \quad \lambda (\lambda \lambda \lambda 0\ 0) \lambda (\lambda \lambda \lambda 0) \quad \lambda (\lambda 0\ \lambda 1) \quad \lambda (\lambda 1\ \lambda 0) \quad \lambda (\lambda 0\ \lambda 1) \quad \lambda (\lambda 1\ \lambda 0) \quad \lambda (\lambda 0\ \lambda 0) \quad \lambda \lambda (\lambda 0\ \lambda 0) \quad \lambda \lambda \lambda (\lambda 0\ 0) \quad \lambda \lambda \lambda (\lambda 0\ \lambda 0) \quad \lambda \lambda \lambda \lambda \lambda 1 \quad \lambda \lambda \lambda \lambda \lambda \lambda 0
```

The Haskell programs of this development are on GitHub: https://github.com/PierreLescanne/CountingGeneratingAfffineLinearClosedLambdaterms.

Notations

In this paper we use specific notations.

Given a predicate p, the Iverson notation written [p(x)] is the function taking natural values which is 1 if p(x) is true and which is 0 if p(x) is false.

Let $\mathbf{m} \in \mathbb{N}^p$ be the *p*-tuple $(m_0, ..., m_{p-1})$. In Section 5, we consider also infinite tuples. Thus $\mathbf{m} \in \mathbb{N}^{\omega}$ is the sequence $(m_0, m_1, ...)$. Notice in the case of infinite tuples, we are only interested in infinite tuples equal to 0 after some index.

- p is the *length* of \mathbf{m} , which we write also length \mathbf{m}
- The p-tuple (0,...,0) is written 0^p . 0^ω is the infinite tuple made of 0's.
- The increment of a p-tuple at i is:

$$\mathbf{m}^{\uparrow i} = \mathbf{n} \in \mathbb{N}^p$$
 where $n_j = m_j$ if $j \neq i$ and $n_i = m_i + 1$

• Putting an element x as head of a tuple is written

$$x : \mathbf{m} = x : (m_0, ...) = (x, m_0, ...)$$

tail removes the head of a tuple:

$$tail(x : \mathbf{m}) = \mathbf{m}.$$

 \bullet \oplus is the componentwise addition on tuples.

2 SwissCheese

The basic concept is this of **m-SwissCheese** or **Swisscheese** of characteristic **m** or simply **SwissCheese** if there is no ambiguity on **m**. A **m-**SwissCheese or a SwissCheese of characteristic **m**, where **m** is of length p) is, a λ -term with holes at p levels, which are all counted, using **m**. The p levels of holes are $\Box_0, \ldots \Box_{p-1}$. A hole \Box_i is meant to be a location for a variable at level i, that is under i λ 's. According to the way bound variables are inserted when creating abstractions (see below), we consider linear or affine SwissCheeses. The holes have size 0. An **m-**SwissCheese or a SwissCheese of characteristic **m** has m_0 holes at level 0, m_1 holes at level 1, ... m_{p-1} holes at level p-1. Let $l_{n,\mathbf{m}}$ (resp. $a_{n,\mathbf{m}}$) count the linear (resp. the affine) **m-**SwissCheese of size n. $l_{n,\mathbf{m}} = l_{n,\mathbf{m}'}$ and $a_{n,\mathbf{m}} = a_{n,\mathbf{m}'}$ if **m** is finite, length $\mathbf{m} \geq n$, $m_i = m_i'$ for $i \leq \text{length } \mathbf{m}$, and $m_i' = 0$ for $i > \text{length } \mathbf{m}$. $l_{n,0^n}$ (resp. $a_{n,0^n}$) counts the closed linear (resp. the closed affine) λ -terms.

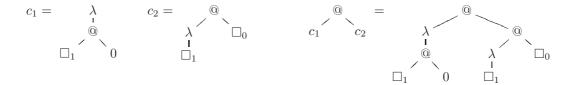


Figure 1: Building a SwissCheese by application

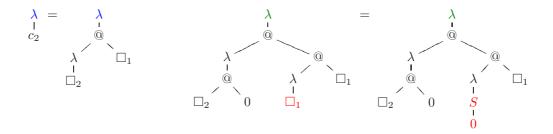


Figure 2: Abstracting SwissCheeses without and with binding

2.1 Growing a SwissCheese

Given two SwissCheeses, we can build a SwissCheese by application like in Fig 1. In Fig. 1, c_1 is a (0, 1, 0, 0, 0)-SwissCheese, c_2 is a (1, 1, 0, 0, 0)-SwissCheese and $c_1@c_2$ is a (1, 2, 0, 0, 0)-SwissCheese. Said otherwise, c_1 has characteristic (0, 1, 0, 0, 0), c_2 has characteristic (1, 1, 0, 0, 0) and $c_1@c_2$ has characteristic (1, 2, 0, 0, 0). According to what we said, $c_1@c_2$ has characteristic (1, 2) as well as characteristic (1, 2, 0, 0, ...) (a tuple starting with 1, followed by 2, followed by infinitely many 0's). We could also say that c_1 has characteristic (0, 1) and c_2 has characteristic (1, 1) making @ a binary operation on SwissCheeses of length 2 whereas previously we have made @ a binary operation on SwissCheeses of length 5. In other words, when counting SwissCheeses of characteristic \mathbf{m} , the trailing 0's are irrelevant. In actual computations, we make the lengths of characteristics consistent by adding trailing 0's to too short ones.

Given a SwissCheese, there are two ways to grow a SwissCheese to make another SwissCheese by abstraction.

- 1. We put a λ on the top of a **m**-SwissCheese c. This increases the levels of the holes: a hole \Box_i becomes a hole \Box_{i+1} . λc is a $(0:\mathbf{m})$ -SwissCheese. See Fig 2 on the left. This way, no index is bound by the top λ , therefore this does not preserve linearity (it preserves affinity however). Therefore this construction is only for building affine SwissCheeses, not for building linear SwissCheeses. In Figure 2 (left), we colour the added λ in blue and we call it abstraction with \underline{no} binding.
- 2. In the second method for growing a SwissCheese by abstraction, we select first a hole \Box_i , we top the SwissCheese by a λ , we increment the levels of the other holes and we replace the chosen hole by S^i 0. In Figure 2 (right), we colour the added λ in green and we call it abstraction <u>with</u> binding.

2.2 Measuring SwissCheese

We considers several ways of measuring the size of a SwissCheese derived from what is done on λ -terms. In all these sizes, applications @ and abstractions λ have size 1 and holes have size 0. The differences are in the way variables are measured.

- Variables have size 0, we call this **variable size** 0.
- Variables have size 1, we call this variable size 1.
- Variables (or de Bruijn indices) $S^{i}0$ have size i+1, we call this **natural size**.

3 Counting linear closed terms

We start with counting linear terms since they are slightly simpler. We will give recursive formulas first for the numbers $l_{n,\mathbf{m}}^{\nu}$ of linear SwissCheeses of natural size n with holes set by \mathbf{m} , then for the numbers $l_{n,\mathbf{m}}^{0}$ of linear SwissCheeses of size n, for variable size 0, with holes set by \mathbf{m} , eventually for the numbers $l_{n,\mathbf{m}}^{1}$ of linear SwissCheeses of size n, for variable size 1, with holes set by \mathbf{m} . When we do not want to specify a chosen size, we write only $l_{n,\mathbf{m}}$ without superscript.

3.1 Natural size

First let us count linear SwissCheeses with natural size. This is given by the coefficient l^{ν} which has two arguments: the size n of the SwissCheese and a tuple \mathbf{m} which specifies the number of holes of each level. In other words we are interested in the quantity $l_{n,\mathbf{m}}^{\nu}$. We assume that the length of \mathbf{m} is p, greater than n.

 $\mathbf{n} = \mathbf{0}$ whatever size is considered, there is only one SwissCheese of size 0 namely \square_0 . This means that the number of SwissCheeses of size 0 is 1 if and only if $\mathbf{m} = (1, 0, 0, ...)$:

$$l_{0,\mathbf{m}}^{\nu} = l_{0,\mathbf{m}}^{0} = l_{0,\mathbf{m}}^{1} = [m_0 = 1 \land \bigwedge_{j=1}^{p-1} m_j = 0]$$

 $\mathbf{n} \neq \mathbf{0}$ and application if a λ -term of size n has holes set by \mathbf{m} and is an application, then it is obtained from a λ term of size k with holes set by \mathbf{q} and a λ term of size n - k - 1 with holes set by \mathbf{r} , with $\mathbf{m} = \mathbf{q} \oplus \mathbf{r}$:

$$\sum_{\mathbf{q} \oplus \mathbf{r} = \mathbf{m}} \sum_{k=0}^{n} l_{k,\mathbf{q}} l_{n-1-k,\mathbf{r}}$$

 $\mathbf{n} \neq \mathbf{0}$ and abstraction with binding consider a level i, that is a level of hole \square_i . In this hole we put a term S^{i-1} 0 of size i. There are m_i ways to choose a hole \square_i . Therefore there are m_i $l_{n-i-1,\mathbf{m}}^{\nu}$ SwissCheeses which are abstractions with binding in which a \square_i has been replaced by the de Bruijn index S^{i-1} 0 among $l_{n,0:\mathbf{m}^{\downarrow i}}^{\nu}$ SwissCheeses, where $\mathbf{m}^{\downarrow i}$ is \mathbf{m} in which m_i is decremented. We notice that this refers only to an \mathbf{m} starting with 0. Hence by summing over i and adjusting \mathbf{m} , this part contributes as:

$$\sum_{i=0}^{p-1} (m_i+1) l_{n-i,\mathbf{m}^{\uparrow i}}^{\nu}$$

to $l_{n+1,0:m}^{\nu}$.

We have the following recursive definitions of $l_{n,m}^{\nu}$:

$$\begin{array}{lcl} l_{n+1,0:\mathbf{m}}^{\nu} & = & \displaystyle\sum_{\mathbf{q}\oplus\mathbf{r}=0:\mathbf{m}} \, \displaystyle\sum_{k=0}^{n} \, l_{k,\mathbf{q}}^{\nu} \, l_{n-k,\mathbf{r}}^{\nu} + \displaystyle\sum_{i=0}^{p-1} (m_{i}+1) \, \, l_{n-i,\mathbf{m}^{\uparrow i}}^{\nu} \\ \\ l_{n+1,(h+1):\mathbf{m}}^{\nu} & = & \displaystyle\sum_{\mathbf{q}\oplus\mathbf{r}=(h+1):\mathbf{m}} \, \displaystyle\sum_{k=0}^{n} \, l_{k,\mathbf{q}}^{\nu} \, l_{n-k,\mathbf{r}}^{\nu} \end{array}$$

Numbers of closed linear terms with natural size are given in Figure 3.

3.2 Variable size 0

The only difference is that the inserted de Bruijn index has size 0. Therefore we have $m_i l_{n-1,\mathbf{m}}^0$ where we had $m_i l_{n-i-1,\mathbf{m}}^{\nu}$ for natural size. Hence the formulas:

$$\begin{array}{lcl} l_{n+1,0:\mathbf{m}}^{0} & = & \displaystyle\sum_{\mathbf{q}\oplus\mathbf{r}=0:\mathbf{m}} \sum_{k=0}^{n} l_{k,\mathbf{q}}^{0} \, l_{n-k,\mathbf{r}}^{0} + \sum_{i=0}^{p-1} (m_{i}+1) \, l_{n,\mathbf{m}^{\uparrow i}}^{0} \\ \\ l_{n+1,(h+1):\mathbf{m}}^{0} & = & \displaystyle\sum_{\mathbf{q}\oplus\mathbf{r}=(h+1):\mathbf{m}} \sum_{k=0}^{n} \, l_{k,\mathbf{q}}^{0} \, l_{n-k,\mathbf{r}}^{0} \end{array}$$

The sequence $l_{n,0^n}^0$ of the numbers of closed linear terms is 0, 1, 0, 5, 0, 60, 0, 1105, 0, 27120, 0, 828250, which is sequence A062980 in the *On-line Encyclopedia of Integer Sequences* with 0's at even indices.

3.3 Variable size 1

The inserted de Bruijn index has size 1. We have $m_i l_{n-2,\mathbf{m}}^1$ where we had $m_i l_{n-i-1,\mathbf{m}}^{\nu}$ for natural size.

$$l_{n+1,0:\mathbf{m}}^{1} = \sum_{\mathbf{q}\oplus\mathbf{r}=0:\mathbf{m}} \sum_{k=0}^{n} l_{k,\mathbf{q}}^{1} l_{n-k,\mathbf{r}}^{1} + \sum_{i=0}^{n-1} (m_{i}+1) l_{n-1,\mathbf{m}\uparrow i}^{1}$$

$$l_{n+1,(h+1):\mathbf{m}}^{1} = \sum_{\mathbf{q}\oplus\mathbf{r}=(h+1):\mathbf{m}} \sum_{k=0}^{n} l_{k,\mathbf{q}}^{1} l_{n-k,\mathbf{r}}^{1}$$

As noticed by Grygiel et al. [13] (§ 6.1) There are no linear closed λ -terms of size 3k and 3k+1. However for the values 3k+2 we get the sequence: 1,5,60,1105,27120,... which is again sequence A062980 of the On-line Encyclopedia of Integer Sequences.

4 Counting affine closed terms

We have just to add the case $n \neq 0$ and abstraction without binding. Since no index is added, the size increases by 1. The numbers are written $a_{n,\mathbf{m}}^{\nu}$, $a_{n,\mathbf{m}}^{0}$, $a_{n,\mathbf{m}}^{1}$, and $a_{n,\mathbf{m}}$ when the size does not matter. There are $(0:\mathbf{m})$ -SwissCheeses of size n that are abstraction without binding. We get the recursive formulas:

4.1 Natural size

$$a_{n+1,0:\mathbf{m}}^{\nu} = \sum_{\mathbf{q} \oplus \mathbf{r} = 0:\mathbf{m}} \sum_{k=0}^{n} a_{k,\mathbf{q}}^{\nu} a_{n-k,\mathbf{r}}^{\nu} + \sum_{i=0}^{p-1} (m_i + 1) \ a_{n-i,\mathbf{m}^{\uparrow i}}^{\nu} + a_{n,\mathbf{m}}^{\nu}$$

$$a_{n+1,(h+1):\mathbf{m}}^{\nu} = \sum_{\mathbf{q} \oplus \mathbf{r} = (h+1):\mathbf{m}} \sum_{k=0}^{n} a_{k,\mathbf{q}}^{\nu} a_{n-k,\mathbf{r}}^{\nu}$$

The numbers of closed affine size with natural size are given in Figure 4.

4.2 Variable size 0

$$a_{n+1,0:\mathbf{m}}^{0} = \sum_{\mathbf{q}\oplus\mathbf{r}=0:\mathbf{m}} \sum_{k=0}^{n} a_{k,\mathbf{q}}^{0} a_{n-k,\mathbf{r}}^{0} + \sum_{i=0}^{p-1} (m_{i}+1) \ a_{n,\mathbf{m}\uparrow i}^{0} + a_{n,\mathbf{m}}^{0}$$

$$a_{n+1,(h+1):\mathbf{m}}^{0} = \sum_{\mathbf{q}\oplus\mathbf{r}=(h+1):\mathbf{m}} \sum_{k=0}^{n} a_{k,\mathbf{q}}^{0} a_{n-k,\mathbf{r}}^{0}$$

The sequence $a_{n,0}^0$ of the numbers of affine closed terms for variable size 0 is

$$0, 1, 2, 8, 29, 140, 661, 3622, 19993, 120909, 744890, 4887401, 32795272, \dots$$

It does not appear in the On-line Encyclopedia of Integer Sequences. It corresponds to the coefficients of the generating function A(z,0) where

$$\mathcal{A}(z,u) = u + z(\mathcal{A}(z,u))^2 + z\frac{\partial \mathcal{A}(z,u)}{\partial u} + z\mathcal{A}(z,u).$$

4.3 Variable size 1

$$a_{n+1,0:\mathbf{m}}^{1} = \sum_{\mathbf{q}\oplus\mathbf{r}=0:\mathbf{m}} \sum_{k=0}^{n-1} a_{k,\mathbf{q}}^{1} a_{n-k,\mathbf{r}}^{1} + \sum_{i=0}^{n-1} (m_{i}+1) a_{n-1,\mathbf{m}\uparrow i}^{1} + a_{n,\mathbf{m}}^{1}$$

$$a_{n+1,(h+1):\mathbf{m}}^{1} = \sum_{\mathbf{q}\oplus\mathbf{r}=(h+1):\mathbf{m}} \sum_{k=0}^{n} a_{k,\mathbf{q}}^{1} a_{n-k,\mathbf{r}}^{1}$$

The sequence $a_{n,0}^1\omega$ of the numbers of affine closed terms for variable size 1 is

$$0, 0, 1, 2, 3, 9, 30, 81, 242, 838, 2799, 9365, 33616, 122937, 449698, 1696724, 6558855, \dots$$

This is sequence A281270 in the On-line Encyclopedia of Integer Sequences. However it corresponds to the coefficient of the generating function $\hat{\mathcal{A}}(z,0)$ where $\hat{\mathcal{A}}(z,u)$ is the solution of the functional equation:

$$\hat{\mathcal{A}}(z,u) = zu + z(\hat{\mathcal{A}}(z,u))^2 + z\frac{\partial \hat{\mathcal{A}}(z,u)}{\partial u} + z\hat{\mathcal{A}}(z,u).$$

Notice that this corrects the wrong assumptions of [13] (Section 6.2).

5 Generating functions

Consider families $F_{\mathbf{m}}(z)$ of generating functions indexed by \mathbf{m} , where \mathbf{m} is an infinite tuple of naturals. In fact, we are interested in the infinite tuples \mathbf{m} that are always 0, except a finite number of indices, in order to compute $F_{0\omega}(z)$, which corresponds to closed λ -terms. Let \mathbf{u} stands for the infinite sequences of variables $(u_0, u_1, ...)$ and $\mathbf{u}^{\mathbf{m}}$ stands for $(u_0^{m_0}, u_1^{m_1}, ..., u_n^{m_n}, ...)$ and tail (\mathbf{u}) stand for $(u_1, ...)$. We consider the series of two variables z and \mathbf{u} or double series associated with $F_{\mathbf{m}}(z)$:

$$\mathcal{F}(z, \mathbf{u}) = \sum_{\mathbf{m} \in \mathbb{N}^{\omega}} F_{\mathbf{m}}(z) \, \mathbf{u}^{\mathbf{m}}.$$

Natural size

 $L_{\mathbf{m}}^{\nu}(z)$ is associated with the numbers of closed linear SwissCheeses for natural size:

$$L_{0:\mathbf{m}}^{\nu}(z) = z \sum_{\mathbf{m}' \oplus \mathbf{m}'' = 0:\mathbf{m}} L_{\mathbf{m}'}^{\nu}(z) L_{\mathbf{m}''}^{\nu}(z) + z \sum_{i=0}^{\infty} (m_i + 1) z^i L_{\mathbf{m}^{\uparrow i}}^{\nu}(z)$$

$$L^{\nu}_{(h+1):\mathbf{m}}(z) = [h = 0 + \bigwedge_{i=0}^{\infty} m_i = 0] + z \sum_{\mathbf{m}' \oplus \mathbf{m}'' = (h+1):\mathbf{m}} L^{\nu}_{\mathbf{m}'}(z) L^{\nu}_{\mathbf{m}''}(z)$$

 $L_{0\omega}^{\nu}$ is the generating function for the closed linear λ -terms. $\mathcal{L}^{\nu}(z, \mathbf{u})$ is the double series associated with $L_{\mathbf{m}}^{\nu}(z)$ and is solution of the equation:

$$\mathcal{L}^{\nu}(z, \mathbf{u}) = u_0 + z(\mathcal{L}^{\nu}(z, \mathbf{u}))^2 + \sum_{i=1}^{\infty} z^i \frac{\partial \mathcal{L}^{\nu}(z, \mathsf{tail}(\mathbf{u}))}{\partial u^i}$$

 $\mathcal{L}^{\nu}(z,0^{\omega})$ is the generating function of closed linear λ -terms.

For closed affine SwissCheeses we get:

$$A^{\nu}_{0:\mathbf{m}}(z) = z \sum_{\mathbf{m}' \oplus \mathbf{m}'' = 0:\mathbf{m}} A^{\nu}_{\mathbf{m}'}(z) A^{\nu}_{\mathbf{m}''}(z) + z \sum_{i=0}^{\infty} (m_i + 1) z^i A^{\nu}_{\mathbf{m}^{\uparrow i}}(z) + z A^{\nu}_{\mathbf{m}}(z)$$

$$A^{\nu}_{(h+1):\mathbf{m}}(z) = [h = 0 + \bigwedge_{i=0}^{\infty} m_i = 0] + z \sum_{\mathbf{m}' \oplus \mathbf{m}'' = (h+1):\mathbf{m}} A^{\nu}_{\mathbf{m}'}(z) A^{\nu}_{\mathbf{m}''}(z)$$

 A_{0}^{ν} is the generating function for the closed linear λ -terms. $\mathcal{A}^{\nu}(z,\mathbf{u})$ is the double series associated with $A_{\mathbf{m}}^{\nu}(z)$ and is solution of the equation:

$$\mathcal{A}^{\nu}(z,\mathbf{u}) = u_0 + z(\mathcal{A}^{\nu}(z,\mathbf{u}))^2 + \sum_{i=1}^{\infty} z^i \frac{\partial \mathcal{A}^{\nu}(z,\mathsf{tail}\,(\mathbf{u}))}{\partial u^i} + z \mathcal{A}^{\nu}(z,\mathsf{tail}\,(\mathbf{u}))$$

 $\mathcal{A}^{\nu}(z,0^{\omega})$ is the generating function of closed affine λ -terms.

Variable size 0

 $L_{\mathbf{m}}^{0}$ is associated with the numbers of closed linear SwissCheeses for variable size 0:

$$L_{0:\mathbf{m}}^{0}(z) = z \sum_{\mathbf{m}' \oplus \mathbf{m}'' = \mathbf{m}} L_{\mathbf{m}'}^{0}(z) L_{\mathbf{m}''}^{0}(z) + z \sum_{i=0}^{\infty} (m_{i} + 1) L_{\mathbf{m}\uparrow i}^{0}(z)$$

$$L_{(h+1):\mathbf{m}}^{0}(z) = [h = 0 + \bigwedge_{i=0}^{\infty} m_{i} = 0] + \sum_{\mathbf{m}' \oplus \mathbf{m}'' = \mathbf{m}} z L_{\mathbf{m}'}^{0}(z) L_{\mathbf{m}''}^{0}(z)$$

 $L_{0\omega}^{0}$ is the generating function for the closed linear λ -terms. $\mathcal{L}^{0}(z,\mathbf{u})$ is the double series associated with $L_{\mathbf{m}}^{0}(z)$ and is solution of the equation:

$$\mathcal{L}^{0}(z, \mathbf{u}) = u_{0} + z(\mathcal{L}^{0}(z, \mathbf{u}))^{2} + \sum_{i=1}^{\infty} \frac{\partial \mathcal{L}^{0}(z, \mathsf{tail}(\mathbf{u}))}{\partial u^{i}}$$

 $\mathcal{L}^0(z,0^{\omega})$ is the generating function of closed linear λ -terms.

For closed affine SwissCheeses we get:

$$A^{0}_{0:\mathbf{m}}(z) = z \sum_{\mathbf{m}' \oplus \mathbf{m}'' = 0:\mathbf{m}} A^{0}_{\mathbf{m}'}(z) A^{0}_{\mathbf{m}''}(z) + z \sum_{i=0}^{\infty} (m_{i} + 1) A^{0}_{\mathbf{m}^{\uparrow i}}(z) + z A^{0}_{\mathbf{m}}(z)$$

$$A^{0}_{(h+1):\mathbf{m}}(z) = [h = 0 + \bigwedge_{i=0}^{\infty} m_{i} = 0] + \sum_{\mathbf{m}' \oplus \mathbf{m}'' = (h+1):\mathbf{m}} z A^{0}_{\mathbf{m}'}(z) A^{0}_{\mathbf{m}''}(z)$$

 $A_{0\mathbf{w}}^{0}$ is the generating function for the affine linear λ -terms. $\mathcal{A}^{0}(z,\mathbf{u})$ is the double series associated with $A_{\mathbf{m}}^{0}(z)$ and is solution of the equation:

$$\mathcal{A}^0(z,\mathbf{u}) = u_0 + z(\mathcal{A}^0(z,\mathbf{u}))^2 + \sum_{i=1}^{\infty} \frac{\partial \mathcal{A}^0(z,\mathsf{tail}\,(\mathbf{u}))}{\partial u^i} + z\mathcal{A}^0(z,\mathsf{tail}\,(\mathbf{u}))$$

 $\mathcal{A}^0(z,0^{\omega})$ is the generating function of closed linear λ -terms.

Variable size 1

The generating functions for $l_{n,\mathbf{m}}^1$ are:

$$L^{1}_{0:\mathbf{m}}(z) = z \sum_{\mathbf{m}' \oplus \mathbf{m}'' = \mathbf{m}} L^{1}_{\mathbf{m}'}(z) L^{1}_{\mathbf{m}''}(z) + z^{2} \sum_{i=0}^{\infty} (m_{i} + 1) L^{1}_{\mathbf{m}\uparrow i}(z)$$

$$L^{1}_{(h+1):\mathbf{m}}(z) = [h = 0 + \bigwedge_{i=0}^{\infty} m_{i} = 0] + \sum_{\mathbf{m}' \oplus \mathbf{m}'' = \mathbf{m}} z L^{1}_{\mathbf{m}'}(z) L^{1}_{\mathbf{m}''}(z)$$

Then we get as associated double series :

$$\mathcal{L}^1(z, \mathbf{u}) = u_0 + z(\mathcal{L}^1(z, \mathbf{u}))^2 + z^2 \sum_{i=1}^{\infty} \frac{\partial \mathcal{L}^1(z, \mathsf{tail}\,(\mathbf{u}))}{\partial u^i}$$

6 Effective computations

aABSnB n m

| otherwise = 0

The definition of the coefficients $a_{\mathbf{m}}^{\nu}$ and others is highly recursive and requires a mechanism of memoization. In Haskell, this can be done by using the call by need which is at the core of this language. Assume we want to compute the values of $a_{\mathbf{m}}^{\nu}$ until a value upBound for n. We use a recursive data structure:

```
data Mem = Mem [Mem] | Load [Integer]
in which we store the computed values of a function
a :: Int -> [Int] -> Integer
In our implementation the depth of the recursion of Mem is limited by upBound, which is also the longest
tuple m for which we will compute a_{\mathbf{m}}^{\nu}. Associated with Mem there is a function
access :: Mem -> Int -> [Int] -> Integer access (Load 1) n [] = 1 !! n
access (Mem listM) n (k:m) = access (listM !! k) n m
The leaves of the tree memory, corresponding to Load, contains the values of the function:
memory :: Int -> [Int] -> Mem
memory 0 m = Load [a n (reverse m) | n<-[0..]]
memory k = Mem [memory (k-1) (j:m) | j<-[0..]]
The memory relative to the problem we are interested in is
theMemory = memory (bound) []
and the access to the Memory is given by a specific function:
acc :: Int -> [Int] -> Integer acc n m = access theMemory n m
Notice that a and acc have the same signature. This is not a coincidence, since acc accesses values of a
already computed. Now we are ready to express a:
a 0 m = iv (head m == 1 && all ((==) 0) (tail m))
a n m = aAPP n m + aABSwB n m + aABSnB n m
aAPP counts affine terms that are applications:
aAPP n m = sum (map (\((q,r),(k,nk))->(acc k q)*(acc nk r)) (allCombinations m (n-1)))
where all Combinations returns a list of all the pairs of pairs (\mathbf{m}', \mathbf{m}'') such \mathbf{m} = \mathbf{m}' \oplus \mathbf{m}'' and of pairs
(k, nk) such that k + nk = n. aABSwB counts affine terms that are abstractions with binding.
aABSwB\ n\ m
   | head m == 0 = sum [aABSAtD n m i | i < -[1..(n-1)]]
   | otherwise = 0
aABSAtD counts affine terms that are abstractions with binding at level i:
aABSAtD n m i = (fromIntegral (1 + m!!i))*(acc (n-i-1) (tail (inc i m) ++ [0]))
aABSnB counts affine terms that are abstractions with no binding:
```

Anyway the efficiency of this program is limited by the size of the memory, since for computing $a_{n,0^n}^{\nu}$, for instance, we need to compute a_n^{ν} for about n! values.

 $| \text{ head } m == 0 = (acc (n-1) (tail } m ++ [0]))$

7 Generating affine and linear terms

By relatively small changes it is possible to build programs which generate linear and affine terms. For instance for generating affine terms we get.

```
amg :: Int -> [Int] -> [SwissCheese]
amg 0 m = if (head m == 1 && all ((==) 0) (tail m)) then [Box 0] else []
amg n m = allAPP n m ++ allABSwB n m ++ allABSnB n m
allAPP :: Int -> [Int] -> [SwissCheese]
allAPP n m = foldr (++) [] (map (\(((q,r),(k,nk))) -> appSC (cartesian (accAG k q))
                                                                                                                                                                                                              (accAG nk r))
                                                                                      (allCombinations m (n-1)))
allABSAtD :: Int -> [Int] -> Int -> [SwissCheese]
allABSAtD n m i = foldr (++) [] (map (abstract (i-1)) (accAG (n - i - 1)) (accAG (n 
                                                                                                                                                                       (tail (inc i m) ++ [0])))
allABSwB :: Int -> [Int] -> [SwissCheese]
allABSwB n m
      | \text{head m} == 0 = \text{foldr} (++) [] [allABSAtD n m i | i < -[1..(n-1)]]
      | otherwise = []
allABSnB :: Int -> [Int] -> [SwissCheese]
      | head m == 0 = map (AbsSC . raise) (accAG (n-1) (tail <math>m ++ [0]))
      | otherwise = []
memoryAG :: Int -> [Int] -> MemSC
memoryAG 0 m = LoadSC [amg n (reverse m) | n<-[0..]]</pre>
memoryAG k m = MemSC [memoryAG (k-1) (j:m) | j<-[0..]]
theMemoryAG = memoryAG (upBound) []
accAG :: Int -> [Int] -> [SwissCheese]
accAG n m = accessSC theMemoryAG n m
```

From this, we get programs for generating random affine terms or random linear terms as follows: if we want a random closed linear term of a given size n, we throw a random number, say p, between 1 and $l_{n,0^n}$ and we look for the p^{th} in the list of all the closed linear terms of size n. Haskell laziness mimics the unranking. Due to high requests in space, we cannot go further than the random generation of closed linear terms of size 23 and closed affine terms of size 19. There are similar programs for generating all the terms of size n for variable size 0 and variable size 1.

8 Normal forms

From the method used for counting affine and linear closed terms, it is easy to deduce method for counting affine and linear closed normal forms. Like before, we use SwissCheeses. In this section we consider only natural size.

8.1 Natural size

Affine closed normal forms

Let us call $anf_{n,\mathbf{m}}^{\nu}$ the numbers of affine SwissCheeses with no β -redex and $ane_{n,\mathbf{m}}^{\nu}$ the numbers of neutral affine SwissCheeses, i.e., affine SwissCheeses with no β -redexes that are sequences of applications starting with a de Bruijn index. In addition we count:

- $anf^{\nu}\lambda w_{n,m}$ the number of affine SwissCheeses with no β -redex which are abstraction with a binding of a de Bruijn index,
- $anf^{\nu}\lambda n_{n,m}$ the number of affine SwissCheeses with no β -redex which are abstraction with no binding.

$$anf_{0,\mathbf{m}}^{\nu} = ane_{0,\mathbf{m}}^{\nu}$$

$$anf_{n+1,\mathbf{m}}^{\nu} = ane_{n+1,\mathbf{m}}^{\nu} + anf^{\nu} \lambda w_{n+1,m} + anf^{\nu} \lambda n_{n+1,m}$$

where

$$ane_{0,\mathbf{m}}^{\nu} = m_0 = 1 \wedge \bigwedge_{j=1}^{p-1} m_j = 0$$

$$ane_{n+1,\mathbf{m}}^{\nu} = \sum_{\mathbf{q} \oplus \mathbf{r} = 0 : \mathbf{m}} \sum_{k=0}^{n} ane_{k,\mathbf{q}}^{\nu} anf_{n-k,\mathbf{r}}^{\nu}$$

and

$$anf^{\nu}\lambda w_{n+1,m} = \sum_{i=0}^{n} (m_i + 1) \ anf^{\nu}_{n-i,\mathbf{m}^{\uparrow i}}$$

and

$$anf^{\nu}\lambda n_{n+1,m} = anf^{\nu}_{n,m}$$

There are two generating functions, \mathcal{A}^{nf} and \mathcal{A}^{ne} , which are associated to $anf_{n,\mathbf{m}}^{\nu}$ and $anf_{n,\mathbf{m}}^{\nu}$:

$$\begin{split} \mathcal{A}^{nf}(z,\mathbf{u}) &= \mathcal{A}^{ne}(z,\mathbf{u}) + \sum_{i=1}^{\infty} z^i \frac{\partial \mathcal{A}^{nf}(z,\mathsf{tail}\,(\mathbf{u}))}{\partial u^i} + z \mathcal{A}^{nf}(z,\mathsf{tail}\,(\mathbf{u})) \\ \mathcal{A}^{ne}(z,\mathbf{u}) &= u_0 + z \mathcal{A}^{ne}(z,\mathbf{u}) \mathcal{A}^{nf}(z,\mathbf{u}) \end{split}$$

Linear closed normal forms

Let us call $lnf_{n,\mathbf{m}}^{\nu}$ the numbers of linear SwissCheeses with no β -redex and $lne_{n,\mathbf{m}}^{\nu}$ the numbers of neutral linear SwissCheeses, linear SwissCheeses with no β -redexes that are sequences of applications starting with a de Bruijn index. In addition we count $lnf^{\nu}\lambda w_{n,m}$ the number of linear SwissCheeses with no β -redex which are abstraction with a binding of a de Bruijn index.

$$\begin{array}{lcl} lnf^{\nu}_{0,\mathbf{m}} & = & lne^{\nu}_{0,\mathbf{m}} \\ lnf^{\nu}_{n+1,\mathbf{m}} & = & lne^{\nu}_{n+1,\mathbf{m}} + lnf^{\nu}\lambda w_{n+1,m} \end{array}$$

where

$$lne_{0,\mathbf{m}}^{\nu} = m_0 = 1 \wedge \bigwedge_{j=1}^{p-1} m_j = 0$$

$$lne_{n+1,\mathbf{m}}^{\nu} = \sum_{\mathbf{q} \oplus \mathbf{r} = 0: \mathbf{m}} \sum_{k=0}^{n} lne_{k,\mathbf{q}}^{\nu} lnf_{n-k,\mathbf{r}}^{\nu}$$

and

$$lnf^{\nu}\lambda w_{n+1,m} = \sum_{i=0}^{p-1} (m_i + 1) \, lnf^{\nu}_{n-i,\mathbf{m}^{\uparrow i}}$$

with the two generating functions:

$$\mathcal{L}^{nf,\nu}(z,\mathbf{u}) = \mathcal{L}^{ne,\nu}(z,\mathbf{u}) + \sum_{i=1}^{\infty} z^{i} \frac{\partial \mathcal{L}^{nf,\nu}(z,\mathsf{tail}(\mathbf{u}))}{\partial u^{i}}$$

$$\mathcal{L}^{ne,\nu}(z,\mathbf{u}) = u_{0} + z\mathcal{L}^{ne,\nu}(z,\mathbf{u})\mathcal{L}^{nf,\nu}(z,\mathbf{u})$$

We also deduce programs for generating all the closed affine or linear normal forms of a given size from which we deduce programs for *generating random closed affine or linear normal forms of a given size*. For instance, here are three randoms linear closed normal forms (using de Bruijn indices) of natural size 28:

$$\lambda\lambda\lambda\lambda(2\ \lambda((1\ 2)\ \lambda(0\ (5\ 1)))) \quad \lambda(0\ \lambda\lambda(1\ \lambda\lambda((0\ (2\ \lambda\lambda((1\ \lambda0)\ 0)))\ 1))) \quad \lambda((0\ \lambda0)\ \lambda\lambda((0((1\ \lambda0)\lambda\lambda(1\ (0\ \lambda0))))\lambda0)))$$

8.2 Variable size 0

Linear closed normal forms

A little like previously, let us call $\ln f_{n,\mathbf{m}}^0$ the numbers of linear SwissCheeses with no β -redex and $\ln e_{n,\mathbf{m}}^0$ the numbers of neutral linear SwissCheeses, linear SwissCheeses with no β -redexes that are sequences of applications starting with a de Bruijn index. In addition we count $\ln f^0 \lambda w_{n,m}$ the number of linear SwissCheeses with no β -redex which are abstraction with a binding of a de Bruijn index. We assume that the reader knows now how to proceed.

$$lnf_{0,\mathbf{m}}^{0} = lne_{0,\mathbf{m}}^{0}$$

$$lnf_{n+1,\mathbf{m}}^{0} = lne_{n+1,\mathbf{m}}^{0} + lnf^{0}\lambda w_{n+1,m}$$

where

$$lne_{0,\mathbf{m}}^{0} = m_{0} = 1 \wedge \bigwedge_{j=1}^{p-1} m_{j} = 0$$

$$lne_{n+1,\mathbf{m}}^{0} = \sum_{\mathbf{q} \oplus \mathbf{r} = 0 : \mathbf{m}} \sum_{k=0}^{n} lne_{k,\mathbf{q}}^{0} lnf_{n-k,\mathbf{r}}^{0}$$

$$lnf^{0}\lambda w_{n+1,m} = \sum_{i=0}^{n} (m_i + 1) \, lnf_{n,\mathbf{m}^{\uparrow i}}^{0}$$

and the two generating functions:

$$\mathcal{L}^{nf,0}(z, \mathbf{u}) = \mathcal{L}^{ne,0}(z, \mathbf{u}) + \sum_{i=1}^{\infty} \frac{\partial \mathcal{L}^{nf,0}(z, \mathsf{tail}(\mathbf{u}))}{\partial u^{i}}$$

$$\mathcal{L}^{ne,0}(z, \mathbf{u}) = u_0 + z \mathcal{L}^{ne,0}(z, \mathbf{u}) \mathcal{L}^{nf,0}(z, \mathbf{u})$$

With no surprise we get for $lnf_{n,0^n}^0$ the sequence:

$$0, 1, 0, 3, 0, 26, 0, 367, 0, 7142, 0, 176766, 0, 5304356, \dots$$

mentioned by Zeilberger in [19] and listing the coefficients of the generating function $\mathcal{L}^{nf,0}(z,0^{\omega})$.

We let the reader deduce how to count closed affine normal forms for variable size 0 and closed linear and affine normal forms for variable size 1 alike. Notice that the Haskell programs are on the GitHub site.

9 Related works and Acknowledgement

There are several works on counting λ -terms, for instance on natural size [3, 2], on variable size 1 [5, 10, 18], on variable size 0 [14], on affine terms with variable size 1 [7, 6], on linear λ -terms [21, 19, 20], also on a size based binary representation of the λ -calculus [15] (see [12] for a synthetic view of both natural size and binary size).

The basic idea of this work comes from a discussion with Maciej Bendkowski, Olivier Bodini, Sergey Dovgal and Katarzyna Grygiel, I thank them as I thank Noam Zeilberger for interactions.

10 Conclusion

This presentation shares similarity with this of [14, 15, 4]. Instead of considering the size n and the bound m of free indices like in expressions of the form:

$$T_{n+1,m} = T_{n,m+1} + \sum_{i=0}^{n} T_{i,m} T_{n-i,m}$$

here we replace m by the characteristic \mathbf{m} . We can imagine a common framework. On another hand, as noticed by Paul Tarau, this approach has features of dynamic programming [9], which makes it somewhat efficient.

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```
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                             52
                                   124591137939086496
2
    1
                                   299402908258405410
                             53
    0
                                   721839933329222924\\
                             54
    0
                             55
                                   1747307145272084192\\
5
                             56
                                   4211741383777966592\\
                             57
                                   10165998012602469888
                                   24620618729658655936\\
8
    16
                                   59482734150603634286
                             59
                             60
                                   143764591607556354344
10
    8
                             61
                                   348379929166234350008
11
    117
                             62
                                   843169238563254723200
12
    252
                             63
                                   2040572920613086128400\\
    180
13
                             64
                                   4948102905207104837424\\
14
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                                   11992521016286173712196\\
                             65
    2680
15
                                   29059897435554891991144\\
    2952
16
                             67
                                   70516464312280927105392
17
    10350
                             68
                                   171105110698292441423968\\
18
    29420
                             69
                                   415095704639682396539232\\
19
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    116768
                             71
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21
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                             72
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22
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                             73
                                   14449388516068567845838736
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    1420053
                                   35125352062243788817753856
24
    3976424
                             75
                                   85382289240293493116120064
25
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                             76
                                   207650379931166057815603296\\
26
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27
    48816576
                             78
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28
    104890704
                             79
                                   2991079243470267667831893408\\
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                             80
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30
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                             81
31
    1396750576
                             82
                                   43177454620325445122944305984\\
32
    3171222464
                                   105185452787117035266315446868\\
                             83
    8014199360
                             84
                                   256273862465425158211948020048\\
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    18688490336
                             85
                                   624527413292252904584121980208\\
35
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                             86
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                             87
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    251769197688
37
                             88
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                             90
                                   53844910909398928990641101351664\\
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                             95
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                             97
                                   27813754361897984543467478917223008
47
    1533054190557133
                             98
                                   67941781284113201998645699501746176\\
    3693823999533360
48
                             99
                                   165989485724048964272023600773271424\\
    8931109667692464
                             100
                                   405588809305168453963137377442321728
    21375091547312128
```

Figure 3: Natural size: numbers of closed linear terms of size n from 0 to 100

Data

In the appendix, we give the first values of $l_{n,0^n}^{\nu}$, $a_{n,0^n}^{\nu}$, and $anf_{n,0^n}^{\nu}$.

```
51
                                        803928779462727941247\\
1
    0
                                  52
                                        2314623127904669382002
2
    1
                                  53
                                        6667810436356967142481
3
    1
                                        19218411059885449257096\\
4
    2
                                  55
                                        55421020161661024650870\\
5
                                  56
                                        159899218321197381984561
6
    12
                                        461557020400062903560120
7
    25
                                        1332920908954281811200519
                                  58
8
    64
                                  59
                                        3851027068336583693412910
9
    166
                                  60
                                        11131032444503136571789527
10
    405
                                  61
                                        32186581221116996967632029
    1050
11
                                  62
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                                  63
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13
    7239
                                  64
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14
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                                  65
                                        2259043189995515315930349650\\
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                                        6544612955390252336187266873\\
                                  66
    138538
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                                  67
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    374972
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                                  69
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                                  71
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                                  75
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                                  78
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47
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                                  98
                                        4562542818801138452310024131223304186909233\\
    33804054749367200891
48
                                  99
                                        13319630286623965617386598746472280781972745
49
    97151933333668422006\\
                                  100
                                        38890520391341859449843201188612375394153776\\
50
    279385977720772581435
```

Figure 4: Natural size: numbers of closed affine terms of size n from 0 to 100

```
0
                        41
                             3037843646560
1
    0
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2
    1
                         43
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3
                         44
                             35620848278448
4
    2
                             81052838239593
                         45
5
    3
                         46
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7
    10
                         48
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    20
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                         49
9
    40
                         50
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    77
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11
    160
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    671
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17
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38
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```

Figure 5: Natural size: numbers of closed affine normal forms of size n from 0 to 80